

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named

Inventor : William D. Jensen

Appln. No. : 09/970,074

Filed : October 2, 2001

Title : METHOD AND APPARATUS FOR
TRIMMING INTEGRATED CIRCUITS

Docket No. : V44.12-0149

Group Art Unit: 2814

Examiner: Alonzo
Chambliss

EXPRESS MAIL COVER SHEET

Mail Stop Issue Fee

Commissioner For Patents

P.O. Box 1450

Alexandria, VA 22313-1450

SENT VIA EXPRESS MAIL

Express Mail No.: EV 884208446 US

The following papers are being transmitted via **EXPRESS MAIL** to the U.S. Patent and Trademark Office on the date shown below:

1. Check in the amount of \$1,700.00;
2. Part B - Fee(s) Transmittal;
3. Fee Transmittal (in duplicate);
4. Statement Under 37 CFR 3.73(b) with attached copy of Certificate of Ownership and Merger; and
5. Postcard.

Respectfully submitted,

KINNEY & LANGE, P.A.

Date: 1/22/07

By 

David R. Fairbairn, Reg. No. 26,047
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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner Agere Systems Inc.

Application No./Patent No.: 09/970,074 Filing Date: October 2, 2001

Entitled: METHOD AND APPARATUS FOR TRIMMING INTEGRATED CIRCUITS

Agere Systems Inc., corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

States that it is :

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____%

In the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel____, Frame__, or for which a copy thereof is attached.

OR

B. ☒ A Chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From William D. Jensen, David W. Kelly and Ronen Malka To: Agere Systems Guardian Corp.
The document was recorded in the United States Patent and Trademark Office at
Reel 012262, Frame 0641.
2. From Agere Systems Guardian Corp. To: Agere Systems Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

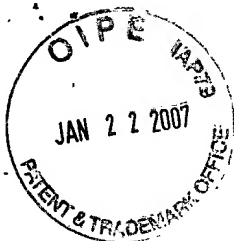
[Note: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

1/22/07
Date

David R. Fairbairn
Typed or printed name
DRF
Signature
Attorney of Record
Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent And Trademark Office, Washington, D. C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D. C. 20231.



Delaware

PAGE 1

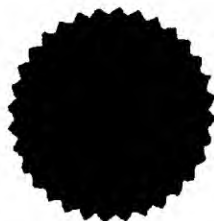
The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF OWNERSHIP, WHICH MERGES:

"AGERE SYSTEMS GUARDIAN CORP.", A DELAWARE CORPORATION, WITH AND INTO "AGERE SYSTEMS INC." UNDER THE NAME OF "AGERE SYSTEMS INC.", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE TWENTY-NINTH DAY OF AUGUST, A.D. 2002, AT 9 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF OWNERSHIP IS THE THIRTY-FIRST DAY OF AUGUST, A.D. 2002.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



3268412 8100M

020545223

Harriet Smith Windsor
Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 1959517

DATE: 08-29-02

BEST AVAILABLE COPY

STATE OF DELAWARE
SECRETARY OF STATE
DIVISION OF CORPORATIONS
FILED 09:00 AM 08/29/2002
020545223 - 3268412



CERTIFICATE OF OWNERSHIP AND MERGER

OF

Agere Systems Guardian Corp.
(a Delaware corporation)

INTO

Agere Systems Inc.
(a Delaware corporation)

UNDER SECTION 253 OF THE GENERAL CORPORATION LAW OF THE STATE OF DELAWARE

Agere Systems Inc., a corporation organized and existing under the laws of Delaware ("Corporation"), DOES HEREBY CERTIFY:

FIRST: The Corporation is the owner of all of the outstanding shares of common stock of Agere Systems Guardian Corp., which is also a business corporation of the State of Delaware.

SECOND: On August 22, 2002 the Subsidiary Governance Committee of the Board of Directors of the Corporation adopted the following resolution to merge Agere Systems Guardian Corp. into the Corporation:

RESOLVED that Agere Systems Guardian Corp., a Delaware corporation, shall be merged with and into Agere Systems Inc., a Delaware corporation, with Agere Systems Inc. being the surviving corporation, and Agere Systems Inc. shall thereupon assume all of the obligations of Agere Systems Guardian Corp.

THIRD: That the merger authorized hereby shall become effective as of 9:00 a.m. Eastern Standard Time on August 31, 2002.

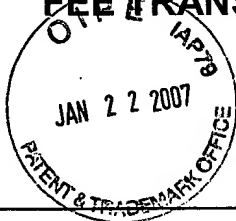
Executed on August 22, 2002

AGERE SYSTEMS INC.

By Paul Bento
Paul Bento, Vice President

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FEE TRANSMITTAL



Complete if Known

Application No. 09/970,074
 Filing Date October 2, 2001
 First Named Inventor William D. Jensen
 Group Art Unit 2814
 Examiner Name Alonzo Chambliss
 Atty. Docket Number V44.12-0149

Total Amount of Payment \$1,700.00

METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. 1.16 and 1.17 and credit any over payments to Deposit Account No.11-0982. Deposit Account Name: Kinney & Lange, P.A. A duplicate copy of this communication is enclosed.

2. ☒ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

Appn. Type	FILING FEE FEE/SMALL	SEARCH FEES FEE/SMALL	EXAM FEES FEE/SMALL	FEES PD.
Utility	300 / 150	500 / 250	200 / 100	—
Design	200 / 100	100 / 50	130 / 65	—
Reissue	300 / 150	500 / 250	600 / 300	—
Provisional	200 / 100	-0- / -0-	-0- / -0-	—

Subtotal (1) \$0.00

2. EXTRA CLAIM FEES

	Number Claims	Prior	Extra	Fee from Fee Paid Below
Total	—	—	X	—
Indep.	—	—	X	—
Multiple Dependent Claims				—

Insert 3 and 20, or number previously paid if greater; Reissue see below

Large Entity Fee Code	Small Entity Fee Code	Description
1202 50	2202 25	Claims in excess of 20
1201 200	2201 100	Independent claims in excess of 3
1203 360	2203 180	Multiple Dependent Claim
1204 200	2204 100	Reissue Independent Claims Over Original Patent
1205 50	2205 25	Reissue claims in excess of 20 and over original patent

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 small) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 C.F.R. 1.16(s). \$0.00

Subtotal (2) \$0.00

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee paid
1051 130	2051 65	Surcharge - Late filing fee or oath	—
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	—
1053 130	1053 130	Non-English specification	—
1812 2,520	1812 2,520	For Filing a Request for Reexamination	—
1251 120	2251 60	Extension for reply within first month	—
1252 450	2252 225	Extension for reply within second month	—
1253 1,020	2253 510	Extension for reply within third month	—
1254 1,590	2254 795	Extension for reply within fourth month	—
1255 2,160	2255 1,080	Extension for reply within fifth month	—
1402 500	2402 250	Filing a brief in support of an appeal	—
1403 1,000	2403 500	Request for oral hearing	—
1814 130	2814 65	Terminal Disclaimer Fee	—
1452 500	2452 250	Petition to revive - unavoidable	—
1453 1,500	2453 750	Petition to revive - unintentional	—
1501 1,400	2501 700	Utility/Reissue issue fee	1400
1502 800	2502 400	Design issue fee	—
1460 130	1460 130	Petitions to the Commissioner	—
1807 50	1807 50	Petitions related to provisional applications	—
1806 180	1806 180	Submission of Information Disclosure Statement	—
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	—
1801 790	2801 395	Request for Continued Examination (RCE)	—
Other fee (specify) Publication Fee			300

Subtotal (3) \$1,700.00

Signature David R. Fairbairn

Reg. No. 26,047

Date 1/22/07

Deposit Account No. 11-0982